

210 03-20-02

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |                 |
|---|-----------------------------|-------------------------------|-----------------|
| <b>Applicati n Numb r</b>                       | 09/973,572                  |                               |                 |
|   | <b>Filing Dat</b>           | October 9, 2001               |                 |
|   | <b>First Named Inventor</b> | Yaniv Gvily 2164              |                 |
|   | <b>Group Art Unit</b>       |                               |                 |
| <b>Examiner Name</b>                            |                             |                               |                 |
| <b>Total Number of Pages in This Submission</b> |                             | <b>Attorney Docket Number</b> | 017900-001610US |

## ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement Under 37 CFR §1.97 and §1.98<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Notification of Missing Requirements Under 35 U.S.C. §371<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Postcard<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Copies of four (4) cited references.<br>Copy of the Search/Examination report. |
| <b>Remarks</b>  |  | <b>The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</b>  |

RECEIVED

FEB 28 2002

Technology Center 2100

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                                 |  |
|---------------------------------|--|
| <b>Firm and Individual name</b> | Townsend and Townsend and Crew LLP<br>Stephen F. Jewett, Reg. No. 27,565 |
| <b>Signature</b>                |  |
| <b>Date</b>                     | 2/5/02   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 2/5/02

|                              |                  |
|------------------------------|------------------|
| <b>Typed or printed name</b> | Jennifer Gallant |
| <b>Signature</b>             |                  |
| <b>Date</b>                  | 2/5/02           |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. DE 7061503 v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 017900-001610US

Assistant Commissioner for Patents  
Washington, D.C. 20231



February 5, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Jennifer Hallant

**RECEIVED**

**FEB 8 8 2002**

**Technology Center 2100**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Yaniv Gvily

Application No.: 09/973,572

Filed: October 9, 2001

For: USER IMPERSONATION BY A  
PROXY SERVER

Examiner:

Art Unit:

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

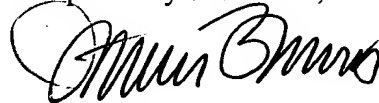
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen F. Jewett  
Reg. No. 27,565

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
SFJ:cc



Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **3**

of

### Complete if Known

Application Number

Filing Date

First Named Inventor

Gvily, Yaniv

Group Art Unit

Examiner Name

Attorney Docket Number

017900-001610US

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
|                        |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
|                        | AA                       | 5,991,810            |                                      | Shapiro et al.                                     | 11/23/1999   |  |
|                        | AB                       | 6,092,196            |                                      | Reiche   | 07/18/2000   |  |
|                        | AC                       | 6,182,142            |                                      | Win et al.   | 01/30/2001   |  |
|                        | AD                       | 6,233,577            |                                      | Ramasubramani et al.                               | 05/15/2001   |  |

RECEIVED

FEB 28 2002

### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee<br>or Applicant of<br>Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|--|--|----------------|
|                        |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |
|                        |                          |                         |                     |                                      |   |  |  |                |

Technology Center 2100

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the<br>item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue<br>number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|------------------------|--------------------------|---|----------------|
|                        |                          |   |                |

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
DE 7061455 v1